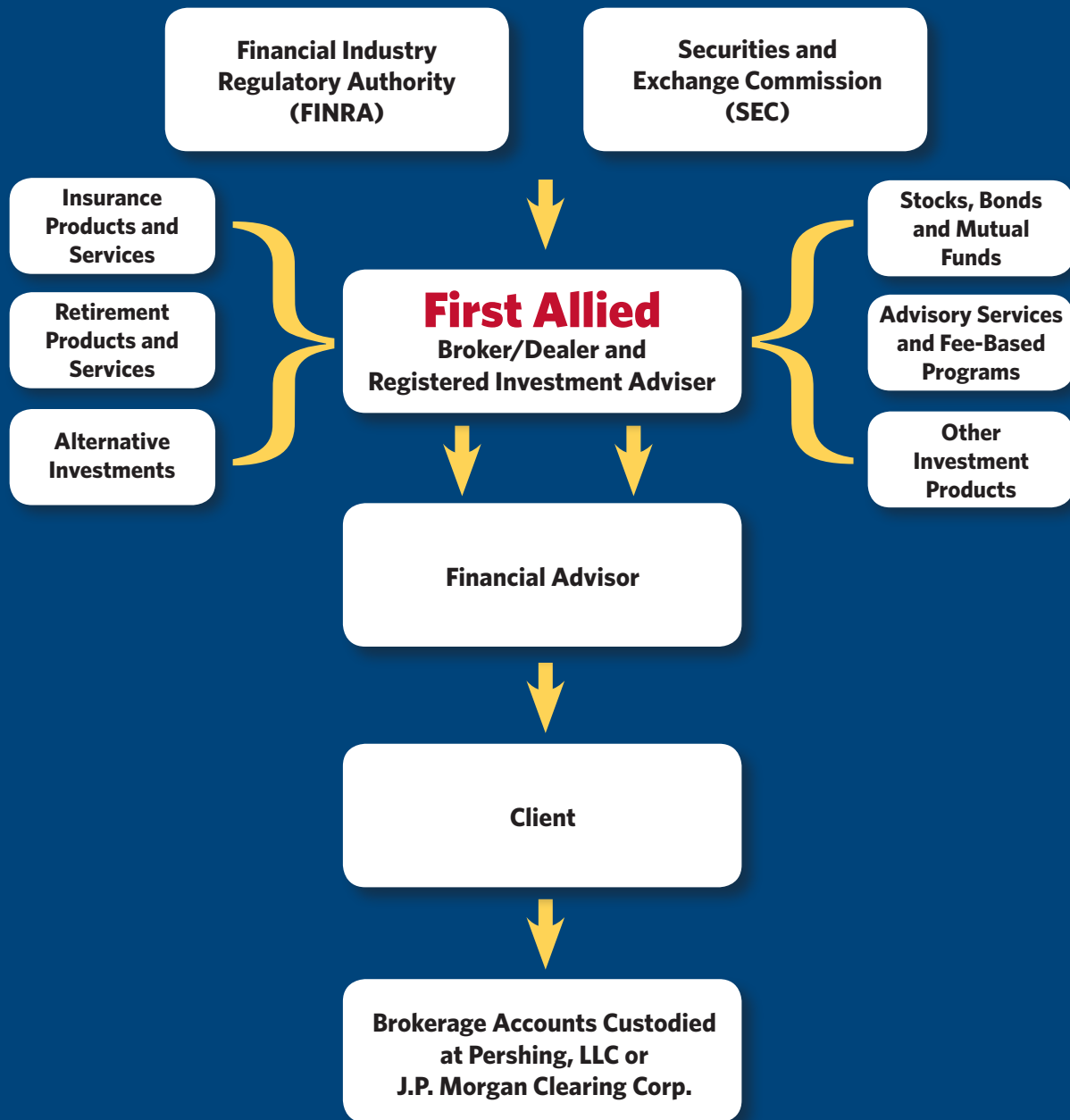


WHERE FIRST ALLIED FITS IN THE FINANCIAL SERVICES INDUSTRY



WHAT IT MEANS TO BE AN INDEPENDENT FINANCIAL SERVICES PROVIDER

Broker/dealers and Registered Investment Advisers buy and sell investment products on behalf of investors who are clients of financial advisors affiliated with the firm. Because we are an independent financial services provider, financial advisors affiliated with us work for themselves out of their own offices across the country and are free to help clients choose the best investment products to meet their financial goals. Because we provide advisors with access to a wide range of investment choices, they can choose which products they believe are best for their clients. We function as the unseen back office that processes client transactions and supports advisors as they provide financial assistance to clients.