MODERN WEALTH
ADVISORS


# Modern Wealth Planning Worksheet

Return This Questionnaire to

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# WEALTH PLANNING WORKSHEET

## Wealth Planning Worksheet

***Aim to retire in comfort and safety.*** *Complete the following information to assist in the preparation of financial advice and retirement income projections.*

## Client Profile

| | Investor | Spouse |
|---|---|---|
| First Name: | ____________ | ____________ |
| Last Name: | ____________ | ____________ |
| Date of Birth: | ____________ | ____________ |
| Email: | ____________ | ____________ |
| Address: | ____________________________ | |
| City: | ____________ | State: ________ Zip: ______ |
| Home Phone: | ____________ | ____________ |
| Cell Phone: | ____________ | ____________ |
| Work Phone: | ____________ | ____________ |
| Fax: | ____________ | ____________ |

## Retirement Income Need

Enter your total, monthly retirement income need:______________________

*(Please enter the gross, before tax, monthly income need in today's dollars.)*

## Goals & Objectives

Please list any personal or retirement goals below. *(Examples: Buy vacation home; Fund children's education; Leave estate to charity; etc.)*

______________________________

______________________________

______________________________

______________________________

______________________________

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## Retirement Date

❒ Retired ❒ < 1 year ❒ 1 to 5 years
❒ 5 to 10 years ❒ 10+ years

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## RETIREMENT ACCOUNTS *(401(k), 403(b), IRA, Roth IRA, SEP IRA, Defined Benefit, etc.)*

| Account Name / Description | Amount | Annual Contributions | Owner | Registration *(IRA, Roth, 401k, etc.)* | Available for Retirement? |
|---|---|---|---|---|---|
| 1) | | | | | ☐ Yes ☐ No |
| 2) | | | | | ☐ Yes ☐ No |
| 3) | | | | | ☐ Yes ☐ No |
| 4) | | | | | ☐ Yes ☐ No |
| 5) | | | | | ☐ Yes ☐ No |
| 6) | | | | | ☐ Yes ☐ No |

## NON-RETIREMENT ACCOUNTS *(Personal, Trust, Checking — Other After-Tax Accounts)*

| Account Name / Description | Amount | Annual Contributions | Owner | Registration *(Trust, Checking, etc.)* | Available for Retirement? |
|---|---|---|---|---|---|
| 1) | | | | | ☐ Yes ☐ No |
| 2) | | | | | ☐ Yes ☐ No |
| 3) | | | | | ☐ Yes ☐ No |
| 4) | | | | | ☐ Yes ☐ No |
| 5) | | | | | ☐ Yes ☐ No |
| 6) | | | | | ☐ Yes ☐ No |

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## INCOME *(Employment, Social Security, Pension, Rental Property Income, Etc.)*

| | Description / Type | Monthly Amount | Owner | Growth/COLA % |
|---|---|---|---|---|
| 1) | | | | |
| 2) | | | | |
| 3) | | | | |
| 4) | | | | |
| 5) | | | | |
| 6) | | | | |
| 7) | | | | |
| 8) | | | | |

## REAL ESTATE

| | | | Value | Mortgage Balance | Mortgage Rate | Mortgage Term (Years) |
|---|---|---|---|---|---|---|
| ☐ Primary Residence | ☐ Rental/Investment | ☐ Vacation/Second Home | | | | |
| ☐ Primary Residence | ☐ Rental/Investment | ☐ Vacation/Second Home | | | | |
| ☐ Primary Residence | ☐ Rental/Investment | ☐ Vacation/Second Home | | | | |
| ☐ Primary Residence | ☐ Rental/Investment | ☐ Vacation/Second Home | | | | |

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## Life Insurance

| | Death Benefit (Amount) | Cash Value | Monthly/Annual Premium | Policy Type |
|---|---|---|---|---|
| ☐ Investor ☐ Spouse | ______ | ______ | ______ | ☐ Term (Yrs___) ☐ Whole Life ☐ Universal Life ☐ Variable Life ☐ Variable Universal Life |
| ☐ Investor ☐ Spouse | ______ | ______ | ______ | ☐ Term (Yrs___) ☐ Whole Life ☐ Universal Life ☐ Variable Life ☐ Variable Universal Life |
| ☐ Investor ☐ Spouse | ______ | ______ | ______ | ☐ Term (Yrs___) ☐ Whole Life ☐ Universal Life ☐ Variable Life ☐ Variable Universal Life |
| ☐ Investor ☐ Spouse | ______ | ______ | ______ | ☐ Term (Yrs___) ☐ Whole Life ☐ Universal Life ☐ Variable Life ☐ Variable Universal Life |

## Disability Insurance

| | Coverage (Amount) | Benefit Period | Waiting/Elimination Period | Monthly/Annual Premium |
|---|---|---|---|---|
| ☐ Investor ☐ Spouse | ______ | ______ | ______ | ______ |
| ☐ Investor ☐ Spouse | ______ | ______ | ______ | ______ |

## Long Term Care Insurance

| | Coverage (Amount) | Benefit Period | Waiting/Elimination Period | Monthly/Annual Premium |
|---|---|---|---|---|
| ☐ Investor ☐ Spouse | ______ | ______ | ______ | ______ |
| ☐ Investor ☐ Spouse | ______ | ______ | ______ | ______ |

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## DEBT *(Credit Card)*

| | Card Name | Balance | Interest Rate | Minimum Payment | Average Payment |
|---|---|---|---|---|---|
| 1) | | | | | |
| 2) | | | | | |

## DEBT—OTHER *(Car Loans, Student Loans, Etc.)*

| | Loan Name / Description | Balance | Interest Rate | Minimum Payment | Average Payment |
|---|---|---|---|---|---|
| 1) | | | | | |
| 2) | | | | | |
| 3) | | | | | |
| 4) | | | | | |

## NOTES & COMMENTS

*Please list any additional goals, objectives or items you would like to note for consideration.*

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## Initial Meeting Checklist

*Please prepare or consider the following items in preparation for your initial meeting.*

## Forms & Paperwork

Please prepare copies of the following items in order to assist in preparing portfolio growth projections and retirement plans.

## Investment Account Statements

- ☐ 401(k), 403(b), Employer-Sponsored Retirement Plan Statements
  - ☐ *Plan Investment Options, Employer Matches, Etc.*
- ☐ IRA Statements
- ☐ Brokerage Account Statements

## Retirement Income

- ☐ Pension Plan Statements (Lump Sum vs Annuity Options)
- ☐ Social Security Statements
- ☐ Rental Income Schedule

## Insurance & Annuity Policies

- ☐ Insurance Policies
- ☐ Annuity Policies

## Legal & Tax Documents

- ☐ Trusts & Wills
- ☐ Tax Returns